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Date of Deposit September 18, 2001

Case No. 6340/16**PATENT APPLICATION TRANSMITTAL LETTER**

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Barry Freel and Robert Graham for : Products Produced from Rapid Thermal Processing of Heavy Hydrocarbon Feedstocks. Enclosed are:

- ☒ 5 sheet(s) of drawings, 58 pages of application (including title page), and the following Appendices : ____.
- ☐ Declaration.
- ☐ Power of Attorney.
- ☒ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☐ Assignment transmittal letter and Assignment of the invention to : ____.
- ☒ return post card.



| Claims as Filed | Col. 1 | Col. 2 |
|-----------------------------------|-----------|-----------|
| No. Filed | No. Filed | No. Extra |
| Basic Fee | | |
| Total Claims | 5-20 | 0 |
| Indep. Claims | 4-3 | 1 |
| Multiple Dependent Claims Present | | |

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

| Small Entity | |
|--------------|--------|
| Rate | Fee |
| | \$ 355 |
| x\$9= | \$ |
| x\$40= | \$40 |
| +\$135= | \$ |
| Total | \$395 |

| Other Than Small Entity | |
|-------------------------|--------|
| Rate | Fee |
| | \$ 710 |
| x\$18= | \$ |
| x\$80= | \$ |
| +\$270= | \$ |
| Total | \$ |

Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.

- ☒ A check in the amount of \$: 395 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

September 18, 2001
Date

John C. Freeman
John C. Freeman
BRINKS HOFER GILSON & LIONE
Registration No. 34,483



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PATENT TRADEMARK OFFICE

Date of Deposit: September 18, 2001

Case No. 6340/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Barry Freel

Serial No: Applied for

Examiner: Not Assigned

Filed: Herewith

Group Art Unit: Not Assigned

For: Products Produced from Rapid
Thermal Processing of Heavy
Hydrocarbon Feedstocks

STATEMENT CLAIMING SMALL ENTITY STATUS


The Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The undersigned hereby asserts that the above-mentioned application is to be assigned to Ensyn Group, Inc., which qualifies for small entity status.

Respectfully submitted,

Dated: September 18, 2001



John C. Freeman
Registration No. 34,483
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

0955267-091801